

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

## 1391.1033

09/889089

INTERNATIONAL APPLICATION NO.  
PCT/JP00/08452

**INTERNATIONAL FILING DATE**  
**November 30, 2000**

PRIORITY DATE CLAIMED  
November 30, 1999

**TITLE OF INVENTION**

# CHARACTER-RECOGNITION PRE-PROCESSING APPARATUS AND METHOD, AND PROGRAM RECORDING MEDIUM

**APPLICANT(S) FOR DO/EO/US**

Hiroshi Kakutani, et al.

**Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:**

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371(f).
2. ☒ This is an express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
3. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
4. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
5. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
6. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
7. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
8. ☐ An oath or declaration of the inventor (35 U.S.C. 371(c)(4)).
9. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 10-15 below concern document(s) or information included:**

10. ☒ An Information Disclosure Statement Under 37 CFR 1.97 and 1.98.
11. ☐ An assignment document for recording.
- Please mail the recorded assignment document to:
- a. ☐ the person whose signature, name & address appears at the bottom of this document.
- b. ☐ the following:
12. ☒ A preliminary amendment.
13. ☐ A substitute specification
14. ☐ A change of power of attorney and/or address letter.
15. ☐ Other items or information:

09/889089

JUL 12 2001 Rec'd PCT/PTO 1 2 JUL 2001

[X] The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	11-20 =	0	x \$ 18.00	0.00
	INDEPENDENT CLAIMS	3- 3 =	0	x \$ 80.00	0.00
	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	270.00
	BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4):				
	[ ] Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$1,000				
	[X] International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.. .....\$ 860				860.00
	[ ] International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO.....\$ 710				
	[ ] International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provision of PCT Article 33(1)-(4).....\$ 690				
	[ ] International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4) .....\$ 100				
	Surcharge of \$130 for furnishing the National fee or oath or declaration later than [ X ] 20 [ ] 30 mos. from the earliest claimed priority date (37-CFR 1.482(e)).				130.00
	TOTAL OF ABOVE CALCULATIONS				1,260.00
	Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (Note 37 CFR 1.9, 1.27, 1.28.)				
	SUBTOTAL				1,260.00
	Processing fee of \$130 for furnishing the English Translation later than [ ] 20 [ ] 30 mos. from the earliest claimed priority date (37 CFR 1.482(f)).				
	TOTAL NATIONAL FEE				1,260.00
	Fee for recording the enclosed assignment (37 CFR 1.21(h)).				+
	TOTAL FEES ENCLOSED				1,260.00

- a. [X] A check in the amount of \$ 1,260.00 to cover the above fees is enclosed.
- b. [ ] Please charge my Deposit Account No. 19-3935 in the Amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-3935. A duplicate copy of this sheet is enclosed.



21171

PATENT TRADEMARK OFFICE

July 12, 2001  
DATE

NAME: David M. Pitcher  
REGISTRATION NO. 25,908

Docket No.: 1391.1033

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of 35 U.S.C. §371 of:

Hiroshi KAKUTANI, et al.

Based Upon International Serial No.  
PCT/JP00/08452

Group Art Unit: Unassigned

Examiner: Unassigned

Confirmation No.

U.S. Filing Date:

For: **CHARACTER-RECOGNITION PRE-PROCESSING APPARATUS AND METHOD, AND  
PROGRAM RECORDING MEDIUM**

**LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**Attn: United States Designated/Elected Office (DO/EO/US)**

Applicants submit herewith for filing an application under 35 U.S.C. §371, including the Transmittal Letter to the United States Designating/Elected Office (DO/EO/US) (Form PTO-1390), the English translation of the above-identified application, including drawings, Preliminary Amendment, Request for International Patent Application as filed (PCT/RO/101), and International Search Report (Form PCT/ISA/210).

Applicants/inventors for the U.S. national stage are:

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Respectfully submitted,

STAAS & HALSEY LLP

Date:

July 12, 2001

By:

  
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